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NITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/849,176

05/04/2001

Michael E. Gaddis

22013-05976

00758 FENWICK & WEST LLP TWO PALO ALTO SQUARE PALO ALTO, CA 94306

CONFIRMATION NO. 9666
FORMALITIES LETTER
\*OC000000006240472\*

Date Mailed: 06/28/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all equired items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

NOTIDDA SOOS\01	00000038 09849176 A copy of th	is notice <u>MUST</u> be returned with the reply
C:105	130.00 GP	

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PTO/SB/21 (modified) Approved for use through xx/xx/xx, OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Department of Commerce **Application Number** Rev. 10/95 09/849,176 Patent and Trademark Office Filing Date May 4, 2001 TRANSMITTAL FORM First Named Inventor Michael E. Gaddis (to be used for all correspondence during pendency of Group Art Unit Number 2152 filed application) **Examiner Name** Not yet known Total Number of Pages in This Submission Attorney Docket Number 22013-05976 **ENCLOSURES** (check all that apply) Fee Transmittal Form (in duplicate) Issue Fee Transmittal Check Enclosed Letter to Chief Draftsperson  $\bowtie$ Return Receipt Postcard Formal Drawing(s): Response to Notice to File Missing Parts [8] Sheet(s) of Figure(s) [1-4(d)] Assignment & Recordation Cover Sheet Appeal Communication to Board of Appeals and Declaration and Power of Attorney Interferences Power of Attorney Appeal Communication to Group Application Data Sheet (Appeal Notice, Brief, Reply Brief) Information Disclosure Statement & PTO-1449 Certified Copy of Priority Document(s) Copies of IDS Cited References After Allowance Communication to Group Request for Corrected Filing Receipt  $\boxtimes$ Notice To File Missing Parts Of Nonprovisional Request for Correction of Recorded Assignment Application (copy) Amendment/Response: [ ] Page(s)  $\boxtimes$ Declaration of Jim Wootten After Final  $\boxtimes$ Declaration of Karen Schattgen Status Request  $\boxtimes$ Petition Under 37 C.F.R. 1.47 Concerning Inventor Who Refuses to Join In Application Revocation and Substitute Power of Attorney **REMARKS:** SIGNATURE OF ATTORNEY OR AGENT Signature: Attorney/Reg. No.: Laura A. Majerus, Reg. No. 33,417

**CERTIFICATE OF MAILING** 

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10

Signature: Typed or Printed Name: Laura A. Majerus

Express Mail Mailing Number (optional): EL482716609US Dated:

22013/05976/DOCS/1231800.1





PTO/SB/17 (10-01)(modified)
OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Department of Commerce Patent and Trademark Office

# **FEE TRANSMITTAL**

**TOTAL AMOUNT OF PAYMENT** Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$) 1,570.00

Complete if Known Application Number Filing Date 09/849,176 May 4, 2001 Michael E. Gaddis First Named Inventor Group Art Unit 2152 **Examiner Name** Not yet known Attorney Docket Number 22013-05976

1. The Commissioner is hereby authorized to:    Charge the indicated foes to the below mendioned deposit account."   10%130	METHOD OF PAYMENT			FEE CA	ALCULATION	(continued	)				
Charge the indicated fees to the below mentioned deposit account. The continued deposit account account. The continued deposit account	1. The Com	missioner is h	nereby authori	ized to:	3. ADDITIONA	L FEES				:	٦
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CFR 1.16 - 1.21 or credit any over payments to the blow mentioned deposit account."   127/55.0   127/52.50   147/52.50   For Illing a request for restamination	Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments		105/ <b>\$130</b>	205/ <b>\$65</b>	Surcharge - late	e filing fee or oa	th	130			
14/75,260   14/7			127/\$50	227/ <b>\$25</b>	Surcharge-late	provisional filing	g fee or cover sheet				
16/\$400   216/\$200   Extension for response within second month!	. 98			-	147/\$2,520	147/ <b>\$2,520</b>	For filing a requ	est for reexami	nation		
Deposit Account Number: 18-2555   Deposit Account Name: FENWICK & WEST LLP   117/\$320   217/\$460   Extension for response within fittird month!	Apr See	plicant claims sma e 37 CFR 1.27	all entity status		115/ <b>\$110</b>	215/ <b>\$55</b>	Extension for re	sponse within f	irst month <sup>t</sup>		
Depois Account Name: FENWICK & WEST LLP  A Duplibate Copy of this authorization is attached  Duplibate Copy of this authorization is attached  2. So Payment Enclosed:  Si Check   Credit Card   Other    FEG CALCULATION (loss effective 10/01/2001)  1. FILING FEE CALCULATION (loss effective 10/01/2001)  1. FILING FEE Code Fee Coder Fee Pee Coder Fee Coder F	20 A				116/\$400	216/ <b>\$200</b>	Extension for re	sponse within s	second month		
A Duplicate Copy of this authorization is attached  2. Payment Enclosed:				LLP	117/\$920	217/\$460	Extension for re	esponse within t	hird month <sup>†</sup>		
12841,860   288,880   Extension for response within fifth month!	74 E				118/ <b>\$1,440</b>	218/ <b>\$720</b>	Extension for re	sponse within f	ourth month <sup>†</sup>	1440	1
State	471			one <b>u</b>	128/ <b>\$1,960</b>	228/ <b>\$980</b>	Extension for re	sponse within f	ifth month <sup>†</sup>		
1. Fil. No. FEE   Large Entity   Fee   Fee   Description   Due   142/\$1,280   242/\$640   Utility Issue Fee (Or Reissue)   Due   Du	65	•		] Other	119/\$320	219/\$160	Notice of Appea	al			
Large Entity   Fee   Fee   Fee   Description   Due   142/\$1,280   242/\$640   Utility Issue Fee (Or Reissue)			(fees effective 10	)/01/2001)	141/ <b>\$1,280</b>	241/\$640		e unintentionall	y abandoned		
10/1/\$740	Large Entity Fee	Small Entity			142/ <b>\$1,2</b> 80	242/ <b>\$640</b>	• •	(Or Reissue)		1	1
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114/\$160 214/\$80 Provisional Filing 581/\$40 581/\$40 Recording each patent assignment per property (times number of properties)  2. CLAIMS  Large Entity Fee Code/Fee Fee Code/Fee Fee Description 102/\$84 202/\$42 Independent claims in excess of 3 Other fee (specify): 104/\$280 204/\$140 Multiple dependent claim	108/\$740	208/\$370	Reissue		126/\$180	126/\$180	Submission of I	nformation Disc	losure Statement		-
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Total   Previously Paid For   Fee   Due   Previously Paid For	109/\$84	209/\$42			No. of		st No.			Fee	<del>- </del> },
of 20 and over original patent  TOTAL minus* 20 or 0 =	110/\$18	210/\$9		·	For Existing	Previ	iously	Extra**	Fee		
* Subtract the greater number of Col. 2 ** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3  **SUBMITTED BY  Typed or Printed Name Laura A. Majerus  Signature Laura Mays  Taylor Mays  Typed or Printed Name Laura Majerus  Date 12/20/01				er original	TOTAL	minus* 20 or 0	) =		L		
* Subtract the greater number of Col. 2 *** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3  *** SUBMITTED BY  Typed or Printed Name Laura A. Majerus  Signature Laura May  Date 12/26/01			patent					l x			
** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3  SUBMITTED BY  Typed or Printed Name Laura A. Majerus  Signature Laura May  Typed or Printed Name Laura A. Majerus  Date 12/20/01					[ ] First prese	mation of muniple deper	ndent claim				
SUBMITTED BY Typed or Printed Name Laura A. Majerus Signature Laura May  Complete (if applicable) Reg. Number 33,417  Date 12/20/01											
Typed or Printed Name Laura A. Majerus Reg. Number 33,417 Signature Laura Mays Date 12/20/01	SUBMITTED										
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Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby



### IN THE UNITED STATES

# PATENT AND TRADEMARK OFFICE

APPLICANTS:

Michael E. Gaddis, David Barmann, Peter N. Hicks and Michael A.

Brown

APPLICATION NO.:

09/849,176

FILING DATE:

May 4, 2001

TITLE:

The state of the s

Method And System For Sending Information On An Extranet

**EXAMINER:** 

Not yet known

GROUP ART UNIT:

2152

ATTY. DKT. NO.:

22013-05976

	CERTIFICATE OF MAILI	NG		<del></del>
mot class mail in an envelope addressed	including the enclosures identified above, is bito: Commissioner for Patents, Washington, D. en this correspondence is being deposited with 37 CFR 1.10	C 20231 on the data show	n halaur Kiles C	
Signature: Law	ra Maj	-		
Typed or Printed Name: Laura	A. Majerus	Dated:	12/20/01	
Express Mail Mailing Number (option	onal): EL482716609US			

**BOX MISSING PARTS** COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

SIR:

Responsive to the Notice to File Missing Parts dated June 28, 2001 received in the aboveidentified patent application,

- ⊠ Enclosed are:
  - □ a copy of the Notice to File Missing Parts;
  - an original, signed Declaration and Power of Attorney;
  - an original, signed Declaration of Jim Wootten
  - an original, signed Declaration of Karen Schattgen

an Application Data She	et;	
payment in the amount of	of \$1,570.00 for the	
☐ application filing	fee;	•
☐ fee for additional	claims; and	
⊠ missing parts sur	charge and a four month exte	ension.
Other		
	f Draftsperson	
	C.F.R. 1.47 Concerning Inv	rentor Who Refuses To
☐ Applicant claims small entity sta	tus under 37 C.F.R. § 1.27.	
	Respectfully submitted, MICHAEL E. GADDIS, D PETER N. HICKS AND M	
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Dated: 12/20/01	By: Laura May Laura A. Majerus, Reg. Alo.	eur The evel attacolomical a late of
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	Palo Alto, CA 94306 Tel.: (415) 875-2332	ित भूत्र विकासिक नेष्ट्र विकास सुवन्त्र है। से न
	Fax.: (415) 281-1350	22013/05976/DOCS/1231794.1
		र असीमानितांक साम्यक्षित्र स्व स्थानकृतिः ।